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License No. 33045
For the Practice of Allopathic Medicine
In the State of Arizona.

In the Matter of

GLENN G. ROBERTSON, M.D.

Case No. MD-07-0746A

CONSENT AGREEMENT FOR LETTER OF REPRIMAND AND PROBATION

#### CONSENT AGREEMENT

By mutual agreement and understanding, between the Arizona Medical Board ("Board") and Glenn G. Robertson, M.D. ("Respondent"), the parties agree to the following disposition of this matter.

- Respondent has read and understands this Consent Agreement and the stipulated Findings of Fact, Conclusions of Law and Order ("Consent Agreement").
   Respondent acknowledges that he has the right to consult with legal counsel regarding this matter.
- 2. By entering into this Consent Agreement, Respondent voluntarily relinquishes any rights to a hearing or judicial review in state or federal court on the matters alleged, or to challenge this Consent Agreement in its entirety as issued by the Board, and waives any other cause of action related thereto or arising from said Consent Agreement.
- This Consent Agreement is not effective until approved by the Board and signed by its Executive Director.
- 4. The Board may adopt this Consent Agreement or any part thereof. This Consent Agreement, or any part thereof, may be considered in any future disciplinary action against Respondent.

- 5. This Consent Agreement does not constitute a dismissal or resolution of other matters currently pending before the Board, if any, and does not constitute any waiver, express or implied, of the Board's statutory authority or jurisdiction regarding any other pending or future investigation, action or proceeding. The acceptance of this Consent Agreement does not preclude any other agency, subdivision or officer of this State from instituting other civil or criminal proceedings with respect to the conduct that is the subject of this Consent Agreement.
- 6. All admissions made by Respondent are solely for final disposition of this matter and any subsequent related administrative proceedings or civil litigation involving the Board and Respondent. Therefore, said admissions by Respondent are not intended or made for any other use, such as in the context of another state or federal government regulatory agency proceeding, civil or criminal court proceeding, in the State of Arizona or any other state or federal court.
- 7. Respondent fully and finally releases the State of Arizona, its agencies, boards, commissions, employees, and agents from any claims (including attorneys fees, costs and expenses), that Respondent has asserted, could assert or may assert in the future against the State of Arizona, its agencies, boards, commissions, employees servants and agents related to the conduct described in this Consent Agreement and the State of Arizona's investigation and litigation thereof.
- 8. Upon signing this agreement, and returning this document (or a copy thereof) to the Board's Executive Director, Respondent may not revoke the acceptance of the Consent Agreement. Respondent may not make any modifications to the document. Any modifications to this original document are ineffective and void unless mutually approved by the parties.

- 9. If the Board does not adopt this Consent Agreement, Respondent will not assert as a defense that the Board's consideration of this Consent Agreement constitutes bias, prejudice, prejudgment or other similar defense.
- 10. This Consent Agreement, once approved and signed, is a public record that will be publicly disseminated as a formal action of the Board and will be reported to the National Practitioner Data Bank and to the Arizona Medical Board's website.
- 11. If any part of the Consent Agreement is later declared void or otherwise unenforceable, the remainder of the Consent Agreement in its entirety shall remain in force and effect.
- 12. Any violation of this Consent Agreement constitutes unprofessional conduct and may result in disciplinary action. A.R.S. §§ 32-1401(27)(r) ("[v]iolating a formal order, probation, consent agreement or stipulation issued or entered into by the board or its executive director under this chapter") and 32-1451.
  - 13. Respondent has read and understands the conditions of probation.

Chate 10/29/09

GLENN G. ROBERTSON, M.D.

#### **FINDINGS OF FACT**

- The Board is the duly constituted authority for the regulation and control of the practice of allopathic medicine in the State of Arizona.
- Respondent is the holder of license number 33045 for the practice of allopathic medicine in the State of Arizona.
- The Board initiated case number MD-07-0746A after receiving a complaint regarding Respondent's care and treatment of a twenty-four year-old female patient ("SG").
- 4. In February 2007, Respondent employed SG and they had a personal relationship. On several occasions, Respondent prescribed SG methylphenidate based upon her existing prescription bottles and referred her to a psychiatrist for mental hygiene disorder.
- 5. During an investigational interview with Board Staff on August 17, 2007, Respondent admitted that SG was not his patient, that he prescribed the methylphenidate on at least three occasions, that he did not perform a physical exam or contact SG's previous prescriber of methylphenidate, and that he did not request SG's other treating physician's medical records.
- On August 20, 2007, Respondent signed an Interim Order to undergo certain evaluations. On that same date, Respondent signed an Interim Practice Restriction prohibiting him from practicing clinical medicine.
- 7. Upon evaluation, Respondent was diagnosed with attention deficit disorder (ADD) for which he had previously been treated. The evaluators also recommended that Respondent address boundaries issues, as they relate to SG.
- 8. In June 2009, Board staff requested a supplemental report from one of the evaluators. According to the evaluator's supplemental report dated July 15, 2009,

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Respondent could return to the practice of medicine provided he complied with certain requirements including psychiatric treatment by a psychiatrist experienced in the treatment of Attention Deficit Disorder.

9. A physician is required to maintain adequate legible medical records containing, at a minimum, sufficient information to identify the patient, support the diagnosis, justify the treatment, accurately document the results, indicate advice and cautionary warnings provided to the patient and provide sufficient information for another practitioner to assume continuity of the patient's care at any point in the course of treatment. A.R.S. § 32-1401(2). Respondent's records were inadequate because he did not document any indications for the medications prescribed to SG.

### **CONCLUSIONS OF LAW**

- The Board possesses jurisdiction over the subject matter hereof and over 1. Respondent.
- The conduct and circumstances described above constitute unprofessional 2. conduct pursuant to A.R.S. § 32-1401(27)(e) ("[f]ailing or refusing to maintain adequate records on a patient.); and A.R.S. § 32-1401(27)(ss) ("[p]rescribing, dispensing or furnishing a prescription medication or a prescription-only device as defined in section 32-1901 to a person unless the licensee first conducts a physical examination of that person or has previously established a doctor-patient relationship.")

# ORDER

## IT IS HEREBY ORDERED THAT:

- 1. Respondent is issued a Letter of Reprimand;
- 2. The restriction on Respondent's license to practice allopathic medicine is lifted: and

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 Respondent is placed on probation for two years with the following terms and conditions:

Α. Physician shall enter in-person treatment with a Board Staff approved psychiatrist experienced in the treatment of Attention Deficit Disorder and shall comply with any and all treatment recommendations, including taking any and all prescribed medications. Physician shall instruct the treating psychiatrist to submit quarterly written reports to Board Staff regarding diagnosis, prognosis, current medications. recommendation for continuing care and treatment, and ability to safely practice The reports shall be submitted on or before 15th day of March, June, medicine. September and December. Physician shall provide the psychiatrist with a copy of this Agreement. Physician shall pay the expenses of all the care and be responsible for paying for the preparation of the quarterly reports. After twelve months, Respondent may submit a written request to the Executive Director requesting termination of the requirement that Respondent remain in treatment with a psychiatrist. The decision to terminate will be based, in part, upon the treating psychiatrist's recommendation for continued care and treatment.

B. If Physician fails to comply with the terms of Paragraph (3), Physician shall promptly enter into an Interim Consent Agreement for Practice Limitation that requires, among other things, that Physician not practice medicine until such time as Physician is evaluated, deemed fit to return to practice, and obtains affirmative approval from the Executive Director to return to the practice of medicine. Physician may be required to undergo any combination of physical examination, psychiatric or psychological evaluation and/or to successfully pass the Special Purpose Licensing Examination and/or participate in interviews with Board Staff for the purposes of assisting in determining Physician's ability to safely return to the practice of medicine. In no respect shall the terms of this

paragraph restrict the Board's authority to initiate and take disciplinary action for violation of this Consent Agreement.

- C. Within six months of the date of this Order, Respondent shall enroll in and complete a Board approved comprehensive boundaries course.
- D. Respondent shall enter a contract with a Board pre-approved monitoring company to provide all monitoring services for compliance with the terms of this Order. Respondent shall bear all costs of monitoring requirements and services.
- 4. The Board retains jurisdiction and may initiate new action based upon any violation of this Order.

DATED AND EFFECTIVE this 2009.



ARIZONA MEDICAL BOARD

Lisa S. Wynn/ Executive Director

ORIGINAL of the foregoing filed this 2nd day of December, 2009 with:

Arizona Medical Board 9545 E. Doubletree Ranch Road Scottsdale, AZ 85258

EXECUTED COPY of the foregoing mailed this <u>2000</u> day of <u>December</u>, 2009 to:

Mark F. Willimann, Esq. P.O. Box 91010 Tucson, Arizona 85752-1010

EXECUTED COPY of the foregoing mailed this day of <u>December</u>, 2009 to:

Glenn G. Robertson, M.D. Address of Record

Investigational Review #599939